



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/216,012  
Source: 1fw9  
Date Processed by STIC: 9/30/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

~~TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE~~ CHECKER  
VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND  
TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/efc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box-1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby,  
Room 1B03, Arlington, VA 22202

### Raw Sequence Listing Error Summary

**ERROR DETECTED**

### SUGGESTED CORRECTION

**SERIAL NUMBER:**

10/7/6, 0/2

**ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE**

- 1 \_\_\_\_\_ Wrapped Nucleics  
Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."
  - 2 \_\_\_\_\_ Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
  - 3 \_\_\_\_\_ Misaligned Amino  
Numbering The numbering under each 5<sup>th</sup> amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.
  - 4 \_\_\_\_\_ Non-ASCII The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. Please **ensure your subsequent submission is saved in ASCII text**.
  - 5 \_\_\_\_\_ Variable Length Sequence(s) \_\_\_\_\_ contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
  - 6 \_\_\_\_\_ PatentIn 2.0  
"bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) \_\_\_\_\_. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
  - 7 \_\_\_\_\_ Skipped Sequences  
(OLD RULES) Sequence(s) \_\_\_\_\_ missing. If intentional, please insert the following lines for **each** skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped  
  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.
  - 8 \_\_\_\_\_ Skipped Sequences  
(NEW RULES) Sequence(s) \_\_\_\_\_ missing. If **intentional**, please insert the following lines for **each** skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
  - 9 \_\_\_\_\_ Use of n's or Xaa's  
(NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
  - 10 \_\_\_\_\_ Invalid <213>  
Response Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence
  - 11 \_\_\_\_\_ Use of <220> Sequence(s) \_\_\_\_\_ missing the <220> "Feature" and associated numeric identifiers and responses.  
Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
  - 12 \_\_\_\_\_ PatentIn 2.0  
"bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
  - 13 \_\_\_\_\_ Misuse of n/Xaa "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFWO

## RAW SEQUENCE LISTING

DATE: 09/30/2004

PATENT APPLICATION: US/10/716,012

TIME: 11:40:48

Input Set : A:\LA0084.ST25.txt

Output Set: N:\CRF4\09302004\J716012.raw

3 <110> APPLICANT: Bristol-Myers Squibb Company  
 5 <120> TITLE OF INVENTION: Methods and Compounds for Producing Dipeptidyl Peptidase IV  
 6 Inhibitors and Intermediates Thereof  
 8 <130> FILE REFERENCE: LA0084  
 10 <140> CURRENT APPLICATION NUMBER: 10/716,012  
 11 <141> CURRENT FILING DATE: 2003-11-18  
 13 <150> PRIOR APPLICATION NUMBER: 60/431,814  
 14 <151> PRIOR FILING DATE: 2002-09-12  
 16 <160> NUMBER OF SEQ ID NOS: 5  
 18 <170> SOFTWARE: PatentIn version 3.2  
 20 <210> SEQ ID NO: 1  
 21 <211> LENGTH: 26  
 22 <212> TYPE: DNA  
 23 <213> ORGANISM: Synthetic  
 25 <400> SEQUENCE: 1  
 26 tcgtcatgaa aatcggtctc gttttg  
 29 <210> SEQ ID NO: 2  
 30 <211> LENGTH: 28  
 31 <212> TYPE: DNA  
 32 <213> ORGANISM: Synthetic  
 34 <400> SEQUENCE: 2  
 35 tactgttttt ccagcgatt cctaggt  
 38 <210> SEQ ID NO: 3  
 39 <211> LENGTH: 33  
 40 <212> TYPE: DNA  
 41 <213> ORGANISM: Synthetic  
 43 <400> SEQUENCE: 3  
 44 gatgctcata tgcgcgacgt gtttgaaatg atg  
 47 <210> SEQ ID NO: 4  
 48 <211> LENGTH: 30  
 49 <212> TYPE: DNA  
 50 <213> ORGANISM: Synthetic  
 52 <400> SEQUENCE: 4  
 53 gatcccgggc taaggcgaat taataattcg  
 56 <210> SEQ ID NO: 5  
 57 <211> LENGTH: 17  
 58 <212> TYPE: PRT  
 59 <213> ORGANISM: Synthetic  
 61 <400> SEQUENCE: 5  
 63 Asn Ser Ala Glu Gly Tyr Leu Glu Pro Arg Arg Pro Arg Ile Asn Ser  
 64 1 5 10 15  
 67 Pro

Does Not Comply  
Corrected Diskette Needed

invalid <213> response

See item 10 on Error  
summary  
sheet

26

28

33

30

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/716,012

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